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## NOTICE OF ALLOWANCE AND FEE(S) DUE

32588

06/03/2003

APPLIED MATERIALS, INC. 2881 SCOTT BLVD. M/S 2061 SANTA CLARA, CA 95050

**EXAMINER** 

OLSEN, ALLAN W

ART UNIT

**CLASS-SUBCLASS** 

1763

216-013000

DATE MAILED: 06/03/2003

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.  | CONFIRMATION NO. |
|-----------------|-------------|----------------------|----------------------|------------------|
| 09/896.786      | 06/29/2001  | Jeng H. Hwang        | AM-2090P1.C1/2090.C2 | 1542             |

TITLE OF INVENTION: METHOD FOR ALLOWING A STABLE POWER TRANSMISSION INTO A PLASMA PROCESSING CHAMBER

| APPLN. TYPE |                | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|-------------|----------------|--------------|-----------|-----------------|------------------|------------|
|             | nonprovisional | NO           | \$1300    | \$300           | \$1600           | 09/03/2003 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

## **HOW TO REPLY TO THIS NOTICE:**

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current **SMALL ENTITY status:** 

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents

Alexandria, Virginia 22313-1450 (703)746-4000 <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks I through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block I, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

|   | DE ADDRESS (Note: Legibly mark-t<br>590 06/03/2003<br>ERIALS, INC.<br>TD. M/S 2061   | up with any corrections or use E   | Block 1)  | Fee(s) Transmitt<br>accompanying pa<br>formal drawing, n<br>I hereby certify<br>United States Pos<br>envelope address | the of mailing can only be used for tal. This certificate cannot hapers. Each additional paper, so that have its own certificate of mailing or Transthat this Fee(s) Transmittal is stal Service with sufficient postaged to the Box Issue Fee address USPTO, on the date indicated by | be used for any other such as an assignment or nailing or transmission.  smission being deposited with the ge for first class mail in an above, or being facsimile |
|---|--|--|---|---|--|--|
|   |  |  |   |   |  | (Signature)  |
|   |  |  |   |   |  | (Date)   |
| APPLICATION NO.   | FILING DATE  | FIRS   | T NAMED INVEN   | TOR   | ATTORNEY DOCKET NO.  | CONFIRMATION NO.   |
| 09/896,786<br>FITLE OF INVENTION: M   | 06/29/2001<br>IETHOD FOR ALLOWING  | G A STABLE POWER   | Jeng H. Hwang<br>TRANSMISSION   | I INTO A PLASMA   | AM-2090P1.C1/2090.C2<br>A PROCESSING CHAMBER   | 1542   |
| APPLN. TYPE   | SMALL ENTITY   | ISSUE FEE  | PUBL  | ICATION FEE   | TOTAL FEE(S) DUE   | DATE DUE   |
| nonprovisional  | NO   | \$1300   |   | \$300   | \$1600   | 09/03/2003   |
| EXAMI   | NFR  | ART UNIT   | CLASS-SUBCI   | ASS   |  |  |
| OLSEN, AI   | <u> </u>   | 1763   | 216-01300   |   |  |  |
| Address form PTO/SB/1:  "Fee Address" indicati PTO/SB/47; Rev 03-02 of Number is required.  3. ASSIGNEE NAME AND PLEASE NOTE: Unless a been previously submitted (A) NAME OF ASSIGNE  | on (or "Fee Address" Indicator more recent) attached. Use DRESIDENCE DATA TO It an assignee is identified beliet to the USPTO or is being st   | ation form se of a Customer  BE PRINTED ON THE tow, no assignee data with the behavior of the country of the customer of the c | or agents OR, single firm (ha attorney or age registered paten is listed, no name PATENT (print of the prover. Completic SIDENCE: (CIT) | patent. Inclusion of<br>on of this form is NC<br>and STATE OR C   | the name of a raregistered set of up to 2 tts. If no name 3 assignee data is only appropriate of a substitute for filing an assignee COUNTRY)  | mment.   |
| Please check the appropriate<br>4a. The following fee(s) are  | e assignee category or category  |  | ment of Fee(s):   | u individual  | corporation or other private gr  | oup entity U government  |
| ☐ Issue Fee   | chelosed.  | _ *  | . ,   | of the fee(s) is enc  | losed.   |  |
| ☐ Publication Fee   |  | ☐ Payr   | nent by credit care   | d. Form PTO-2038  | is attached.   |  |
| ☐ Advance Order - # of C  | Copies   | ☐ The<br>Deposi  | Commissioner is It Account Number   | nereby authorized b   | y charge the required fee(s), or c<br>_(enclose an extra copy of this f  | redit any overpayment, to form).   |
| Commissioner for Patents is   | requested to apply the Issu  | e Fee and Publication Fe   | ee (if any) or to re  | -apply any previous   | sly paid issue fee to the applicati  |  |
| (Authorized Signature)  |  | (Date)   |   |   | <del></del>  |  |
| other than the applicant; interest as shown by the re This collection of informa obtain or retain a benefit application. Confidentialit; estimated to take 12 minu completed application for case. Any comments on suggestions for reducing to Patent and Trademark (22313-1450. DO NOT S | d Publication Fee (if requi<br>a registered attorney or as<br>cords of the United States P<br>ation is required by 37 CFI<br>by the public which is to<br>y is governed by 35 U.S.C.<br>tes to complete, including<br>m to the USPTO. Time w<br>the amount of time you<br>this burden, should be sent<br>Office, U.S. Department<br>END FEES OR COMPLI<br>for Patents, Alexandria, Vin | geni; or the assignee of atent and Trademark Of a 1.311. The information is a 1.311. The information is and 57 CFR 1.14. The pathering, preparing, and ill vary depending upour equire to complete the Chief Information of Commerce, Alexa ETED FORMS TO The  | on is required to to process) an This collection is d submitting the  |   |  |  |

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS P.O. Box 1450 Alexandria, Virginia 22313-1450

| APPLICATION NO.                                    | FILING DATE     | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.    | CONFIRMATION NO. |  |
|--|-----------------|----------------------|------------------------|------------------|--|
| 09/896,786   | 06/29/2001      | Jeng H. Hwang        | AM-2090P1.C1/2090.C2   | 1542             |  |
| 32588  | 7590 06/03/2003 |                      | EXAMINER               |                  |  |
|  | TERIALS, INC.   |                      | OLSEN, ALLAN W         |                  |  |
| 2881 SCOTT BLVD. M/S 2061<br>SANTA CLARA, CA 95050 |                 |                      | ART UNIT               | PAPER NUMBER     |  |
|  |                 |                      | 1763                   |                  |  |
|  |                 |                      | ATE MAILED: 06/03/2003 |                  |  |

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The patent term adjustment to date is 278 days. If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the term adjustment will be 278 days.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

Any questions regarding the patent term extension or adjustment determination should be directed to the Office of Patent Legal Administration at (703)305-1383.



# United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS P.O. BOX 1450 Alexandria, Virginia 22313-1450

| APPLICATION NO.                                    | FI                    | ILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|--|-----------------------|------------|----------------------|-------------------------|------------------|
| 09/896,786   |                       | 06/29/2001 | Jeng H. Hwang        | AM-2090P1.C1/2090.C2    | 1542             |
| 32588  | 32588 7590 06/03/2003 |            |                      | EXAMINER                |                  |
| APPLIED MA   | TERIAL                | S, INC.    |                      | OLSEN, ALLAN W          |                  |
| 2881 SCOTT BLVD. M/S 2061<br>SANTA CLARA, CA 95050 |                       |            |                      | ART UNIT                | PAPER NUMBER     |
| -  | UNITED STATES         |            |                      | 1763                    |                  |
|  |                       |            |                      | DATE MAILED: 06/03/2003 |                  |

### Notice of Fee Increase on January 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after January 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on January 1, 2003. See Revision of Patent and Trademark Fees for Fiscal Year 2003; Final Rule, 67 Fed. Reg. 70847, 70849 (November 27, 2002).

The current fee schedule is accessible from: http://www.uspto.gov/main/howtofees.htm.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after January 1, 2003 (or mailed with a certificate of mailing on or after January 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

|  |   |   | mk-4                |  |
|--|---|---|---------------------|--|
|  | Application No.   | Applicant(s)  |                     |  |
|  | 09/896,786  | APPLIED MATERIA   | ALS INC             |  |
| Notice of Allowability   | Examiner  | Art Unit  |                     |  |
|  | Allan W. Olsen  | 1763  |                     |  |
| The MAILING DATE of this communication appearance All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313  | (OR REMAINS) CLO<br>or other appropriate<br>IGHTS. This applica | OSED in this application. If not include communication will be mailed in due                                  | led<br>course. THIS |  |
| 1. This communication is responsive to <i>filing of 6/29/01</i> .  |   | 4   | <i>¥U (_/</i>       |  |
| 2. X The allowed claim(s) is/are 1,3-6,8-17 and 19-38.   |   | ·   | 1 -                 |  |
| 3. The drawings filed on 29 June 2001 are accepted by the E  | xaminer.  |   | _                   |  |
| 4. Acknowledgment is made of a claim for foreign priority und  |   | a)-(d) or (f).  |                     |  |
| a) ☐ All b) ☐ Some* c) ☐ None of the:  | •   |   |                     |  |
| <ol> <li>Certified copies of the priority documents have</li> </ol>  | been received.  |   |                     |  |
| <ol><li>Certified copies of the priority documents have</li></ol>  | been received in A  | pplication No   |                     |  |
| <ol> <li>Copies of the certified copies of the priority do<br/>International Bureau (PCT Rule 17.2(a)).</li> </ol>   | cuments have been   | received in this national stage applica   | ation from the      |  |
| * Certified copies not received:   |   |   |                     |  |
| 5. Acknowledgment is made of a claim for domestic priority un  | nder 35 U.S.C. § 119  | ∂(e) (to a provisional application).  |                     |  |
| (a) The translation of the foreign language provisional a  | pplication has been   | received.   |                     |  |
| 6. Acknowledgment is made of a claim for domestic priority un  | nder 35 U.S.C. §§ 1   | 20 and/or 121.  |                     |  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Failure to timely comply will result in ABANDONMENT of 7.   A SUBSTITUTE OATH OR DECLARATION must be submits of the submits o | this application. TH  | thed EXAMINER'S AMENDMENT or  | EXTENDABLE.         |  |
| THE ORMAL PATENT APPLICATION (PTO-132) WHICH gives teas  | on(s) why the bath  | deciaration is deficient.   |                     |  |
| <ul> <li>8. ☐ CORRECTED DRAWINGS must be submitted.</li> <li>(a) ☐ including changes required by the Notice of Draftsper.</li> <li>1) ☐ hereto or 2) ☐ to Paper No</li> </ul>  | son's Patent Drawin   | g Review ( PTO-948) attached  | _                   |  |
| (b) including changes required by the proposed drawing (   | correction filed  | , which has been approved by the  | Examiner.           |  |
| (c) including changes required by the attached Examiner  | 's Amendment / Con  | nment or in the Office action of Paper  | · No                |  |
| Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet.   |   |   |                     |  |
| 9.   DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT FOR T  | sit of BIOLOGICAI<br>HE DEPOSIT OF BI                           | L MATERIAL must be submitted.<br>IOLOGICAL MATERIAL.  | Note the            |  |
| Attachment(s)  |   |   |                     |  |
| <ul> <li>1 ☐ Notice of References Cited (PTO-892)</li> <li>3 ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>5 ☑ Information Disclosure Statements (PTO-1449), Paper No. 2</li> </ul>   | 4⊠ I<br>. 6⊠ I  | Notice of Informal Patent Application (<br>Interview Summary (PTO-413), Papel<br>Examiner's Amendment/Comment | r No. <u>4</u> .    |  |
| 7☐ Examiner's Comment Regarding Requirement for Deposit<br>of Biological Material  | · ·   | Examiner's Statement of Reasons for<br>Other .  | Allowance           |  |
|  |   |   |                     |  |

Application/Control Number: 09/896,786

Art Unit: 1763

#### **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Shirley Church on May 29, 2003.

The claims have been amended as follows:

In claim 4 - change "Claim 2" to --Claim 1--;

In claim 6 - cancel claim 6;

In claim 8 - change "Claim 2" to --Claim 1--.

## Allowable Subject Matter

Claims 1, 3-6, 8-17, 19-38 are allowed.

The following is an examiner's statement of reasons for allowance: the claims are directed to a process whereby a dielectric member, which bears the loading of a pressure differential, is heated to reduce the amount of power blocking material deposited upon the dielectric member. The requirement for the dielectric member to bear the pressure loading distinguishes the claimed invention over the teaching of Kanai (EP 0 732 739) because Kanai's dielectric member is fully enclosed within the low pressure chamber of the plasma reactor. As such, Kanai's dielectric member does not bear the force of a pressure differential.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Application/Control Number: 09/896,786

Art Unit: 1763

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Allan Olsen whose telephone number is 703-306-9075. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Greg Mills, can be reached on 703-308-1633.

The general fax numbers for TC1700 are 703-872-9310 (non-after finals) and 703-872-9311(after-final).

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0661.

Allan Olsen, Ph.D. May 29, 2003

Alla Olan All. 1763